

**OFFICE OF THE MISSISSIPPI SECRETARY OF STATE
BUSINESS REGULATION AND ENFORCEMENT DIVISION**

In The Matter Of:)	
)	
BROOKSTREET SECURITIES CORPORATION)	Administrative Proceeding
)	Numbers: S-07-0647, S-07-0657
)	and S-07-0658
Respondent)	
)	

**ORDER REVOKING REGISTRATION AND
IMPOSING ADMINISTRATIVE PENALTY**

COMES NOW The SECRETARY OF STATE OF MISSISSIPPI (hereinafter “Secretary”), by and through Patricia Melvin, Senior Attorney, Business Regulation and Enforcement Division, after having served a Notice of Intent to Revoke Registration and Impose Administrative Penalty (hereinafter “NOI”) on Corporation Services Company, registered agent for Brookstreet Securities Corporation (hereinafter “BSC”) by Certified Mail, and BSC having wholly failed to respond within the time allowed by the applicable rules, the Secretary hereby issues this Final Order Revoking Registration and Imposing Administrative Penalty regarding Respondent’s violations of the Mississippi Securities Act (“Act”).

I. JURISDICTION

The Business Regulation and Enforcement Division of the Office of the Mississippi Secretary of State (hereinafter “Division”) has the power to regulate securities and the entities and individuals that sell them under the Mississippi Securities Act codified at Miss. Code Ann. §§ 75-71-101, *et. seq.* and the Mississippi Securities Act Rules (hereinafter “Rules”).

A. BSC, CRD No. 14667, is a registered broker/dealer firm, who at all times relevant herein, was registered with the Division pursuant to the terms of the Act. According to both the NASD's Central Records Depository (hereinafter "CRD") and the Division's records, Mr. Stanley C. Brooks (hereinafter "Brooks"), President, is the Contact Employee for BSC. Brooks can be served with this Notice of Intent to Revoke Registration and Impose Administrative Penalty at 2361 Campus Drive, #210, Irvine, California 92612.

B. George A. Brian (hereinafter "Brian"), CRD No. 2525268, was a representative of BSC, who at all times relevant herein was a registered representative of BSC and registered with the Division.

C. Mr. James H. Simmons, Jr. (hereinafter "Simmons"), CRD No. 2438224, was a representative of BSC, who at all times relevant herein was a registered representative of BSC and registered with the Division.

D. Clifford Popper (hereinafter "Popper"), CRD No. 1189135, was a representative of BSC, who at all times relevant herein was a registered representative of BSC and registered with the Division.

E. On September 4, 2007, the Division attempted to serve the NOI on BSC. The NOI was returned to the Division.

F. On December 13, 2007, the Division mailed the NOI by certified mail to Corporation Service Company as registered agent for BSC. Danny L. Perry signed the return receipt for Corporation Service Company on December 14, 2007. A copy of the NOI with the signed certified mail receipt attached is attached as Exhibit "A."

- G. To date, BSC has not responded to the NOI.

II. FINDINGS OF FACT

The preceding paragraphs are incorporated herein by reference.

A. GEORGE A. BRIAN -

1. Upon discovering Brian had received several customer complaints, the Division wrote BSC, Brian's employer, and requested a report on each complaint and the action taken, if any, by BSC. This letter was returned to the Division unclaimed. The Division then faxed a copy of the letter to BSC at (949)-852-6806.
2. To date, BSC has not responded to the Division's request.

B. JAMES H. SIMMONS, JR. -

1. Upon discovering Simmons had received several customer complaints, the Division wrote BSC, Simmons' employer, and requested a report on each complaint and the action taken, if any, by BSC.
2. BSC did not respond to this request.
3. On June 4, 2007, the Division again requested BSC provide a report on each complaint.
4. To date, BSC has not responded to the Division's requests.

C. CLIFFORD POPPER -

1. Upon discovering Popper had received several customer complaints, the Division wrote BSC, Popper's employer, and requested a report on each complaint and the action taken, if any, by BSC.
2. BSC did not respond to this request.

3. On June 4, 2007, the Division again requested BSC provide a report on each complaint.
4. To date, BSC has not responded to the Division's requests.

III. APPLICABLE LAW

A. Mississippi Securities Act and Securities Act Rules –

1. Jurisdiction -

a. Miss. Code Ann. §75-71-105(b) defines “Broker-dealer” as follows:

(b) “Broker-dealer” means any person engaged in the business of effecting transactions in securities for the account of others or for his own account. “Broker-dealer” does not include (1) an agent, (2) an issuer, (3) a bank, savings institution, or trust company, or (4) a person who has no place of business in this state if (A) he effects transactions in this state exclusively with or through (i) the issuers of the securities involved in the transactions, (ii) other broker-dealers, or (iii) banks, savings institutions, trust companies, insurance companies, investment companies as defined in the Investment Company Act of 1940, pension or profit-sharing trusts, or other financial institutions or institutional buyers, whether acting for themselves or as trustees, or (B) during any period of twelve (12) consecutive months he does not direct more than fifteen (15) offers to sell or buy into the State of Mississippi in any manner to persons other than those specified in clause (A) of this subsection, whether or not the offeror or any of the offerees is then present in this state.

b. Miss. Code Ann. §75-71-301 provides in pertinent part:

Except as provided for in Section 109(1), it is unlawful for any person to transact business in this state as a broker-dealer or agent unless he is registered under this chapter.

2. Power to Revoke Registration –

Miss. Code Ann. §75-71-321 provides in pertinent part:

* * *

(b) The Secretary of State may by order deny, suspend or revoke the registration if the Secretary of State finds (1) that the order is in the public interest and (2) that the applicant or registrant:

(A) Has failed reasonably to supervise its agents if he is a broker-dealer or his investment adviser representatives if he is an investment adviser; or

* * *

3. Power to Impose Penalty –

Miss. Code Ann. §75-71-715 provides in pertinent part as follows:

Whenever it appears to the Secretary of State that any person has engaged or is about to engage in any act or practice constituting a violation of any provision of this chapter or any rule or order hereunder, he may, in his discretion, seek any or all of the following remedies:

* * *

(2) (a) Issue an order in the case of an issuer or registered securities, broker-dealer, investment advisor, agent investment advisor representative, or other person who violated this chapter, imposing an administrative penalty up to a maximum of Twenty-five Thousand Dollars (\$25,000.00) for each offense and each violation shall be considered a separate offense in a single proceeding or a series of related proceedings; to be paid to the Secretary of State and requiring reimbursement to the Secretary of State for all costs and expenses incurred in the investigation of the violations(s) and in the institution of administrative proceedings, if any, as a result thereof;

* * *

4. Power to Inspect –

Miss. Code Ann. §75-71-333(d) provides as follows:

(d) Inspection Power. All of the records referred to in subsection (a) of this section are subject at any time to such reasonable periodic, special or other examinations by representatives of the Secretary of State, within or without the State of Mississippi, as the Secretary of State deems necessary or appropriate in the public interest or for the protection of investors.

5. Failure to Supervise -

Mississippi Securities Rule 521 provides in pertinent part:

Supervision: All broker/dealers shall establish and keep current a set of written supervisory procedures and a system for implementing such procedures **which may be reasonably expected to prevent and detect any violations of the Act and rules** promulgated thereunder. The procedures shall include the designation by name or title of those persons delegated supervisory responsibility in at least the areas of sales, financial operations, and compliance. A complete set of such procedures and systems shall be kept in all offices located in this state.

6. Standards of Conduct/Violation of other rules or regulations -

Mississippi Securities Act Rule 523(A)(23)

Standards of Conduct: Each broker/dealer and agent shall observe high standards of commercial honor and just and equitable principles of trade in the conduct of their business. Acts and practices, including, but not limited to, the following, are considered contrary to such standards and may constitute grounds for denial, suspension, or revocation of registration, imposition of fines, or such other action authorized by statute.

A. Broker/Dealers.

* * *

23. Violating any laws or rules of the SEC or a national securities exchange or national securities association of which it is a member, or violating any federal or state securities law or any rule or regulation promulgated thereunder.

B. NASD RULES –

Supervision –

Rule 3010. Supervision

(a) Supervisory System

Each member shall establish and maintain a system to supervise the activities of each registered representative, registered principal, and other associated person that is reasonably designed to achieve compliance with applicable securities laws and regulations, and with applicable NASD Rules. Final responsibility for proper supervision shall rest with the member. A member's supervisory system shall provide, at a minimum, for the following:

(1) The establishment and maintenance of written procedures as required by paragraphs (b) and (c) of this Rule.

* * *

IV. CONCLUSIONS OF LAW

1. BSC, a broker-dealer as defined by Miss. Code Ann. §75-71-105(b), is registered with the Division as required by Miss. Code Ann. §75-71-301 and therefore subject to its jurisdiction.

2. The Division, pursuant to Miss. Code Ann. §75-71-333(d), has the right to inspect the books and records of BSC.

3. BSC is required to supervise its agents to prevent and detect any violations of the Act and Rules.

4. The Division is exercising its regulatory authority in requesting a report from BSC of its investigation and response to the complaints filed against Brian, Simmons, and Popper.

5. BSC's records should include records of customer accounts including customer complaints and any action taken by BSC. Any complaints made against the agents prior to BSC hiring them should have been investigated at the time BSC employed the agents. BSC's failure to provide its records regarding these complaints is a failure to comply with the Division's right to inspect the books and records of registered entities pursuant to Miss. Code Ann. §75-71-333(d).

6. By failing to provide the requested information, BSC has failed to establish that it adequately supervises its agents.

V. PUBLIC INTEREST

This Final Order Revoking Registration and Imposing Administrative Penalty is issued in the public interest and for the protection of investors consistent with the purposes of the Act.

VI. ORDER

IT IS THEREFORE ORDERED, pursuant to the authority set out in the Act that the registration of Respondent BROOKSTREET SECURITIES CORPORATION is hereby revoked. In addition, BROOKSTREET SECURITIES CORPORATION shall pay an administrative penalty of Fifteen Thousand Dollars (\$15,000.00). Said penalty is payable to the "Mississippi Secretary of State" within fifteen (15) days from the receipt of this Final Order Revoking Registration and Imposing Administrative Penalty.

BE ADVISED THAT, a willful violation of this Final Order Revoking Registration and Imposing Administrative Penalty may be punishable upon conviction by a fine of not more than Twenty-five Thousand Dollars (\$25,000.00) or five (5) years imprisonment, or both, in addition to civil and administrative remedies available to the Division.

SO ORDERED, this, the 7th day of March, 2008.

C. DELBERT HOSEMAN, JR.
Secretary of State
State of Mississippi

BY: Patricia Melvin
PATRICIA MELVIN
Senior Attorney
Business Regulation & Enforcement

CERTIFICATE OF SERVICE


I, Patricia Melvin, do here by certify that I have this day mailed via Certified Mail, return receipt requested, postage pre-paid, a true and correct copy of the Final Order Revoking Registration and Imposing Administrative Penalty to the following:

Mr. Stanley C. Brooks, President
Brookstreet Securities Corporation
2361 Campus Drive, #210
Irvine, CA 92612

And

Corporation Service Company
506 S. President Street
Jackson, MS 39201

This, the 7th day of March, 2008.


PATRICIA MELVIN
Senior Attorney
Business Regulation and Enforcement

Patricia Melvin, MSB # 2865
Mississippi Secretary of State's Office
700 North Street
Post Office Box 136
Jackson, Mississippi 39205-0136
Tel. (601) 359-1650
Fax (601) 359-1499

**OFFICE OF THE MISSISSIPPI SECRETARY OF STATE
BUSINESS REGULATION AND ENFORCEMENT DIVISION**

In The Matter Of:)	
)	
BROOKSTREET SECURITIES)	Administrative Proceeding
CORPORATION)	Numbers: S-07-0647, S-07-0657
)	and S-07-0658
Respondent)	
)	

**NOTICE OF INTENT TO REVOKE REGISTRATION AND
IMPOSE ADMINISTRATIVE PENALTY**

The SECRETARY OF STATE OF MISSISSIPPI, by and through Patricia Melvin, Senior Attorney, Business Regulation and Enforcement Division, hereby issues this Notice of Intent to Impose Administrative Penalty to Brookstreet Securities Corporation (hereinafter "BSC") and in support hereof, states the following:

I. JURISDICTION

The Business Regulation and Enforcement Division of the Office of the Mississippi Secretary of State (hereinafter "Division") has the power to regulate securities and the entities and individuals that sell them under the Mississippi Securities Act codified at Miss. Code Ann. §§ 75-71-101, *et. seq.* (hereinafter "Act") and the Mississippi Securities Act Rules (hereinafter "Rules").

A. BSC, CRD No. 14667, is a registered broker/dealer firm, who at all times relevant herein, was registered with the Division pursuant to the terms of the Act. According to both the NASD's Central Records Depository (hereinafter "CRD") and the Division's records, Mr. Stanley C. Brooks (hereinafter "Brooks"), President, is the Contact Employee for BSC. Brooks can be served with this



Notice of Intent to Revoke Registration and Impose Administrative Penalty at
2361 Campus Drive, #210, Irvine, California 92612.

B. George A. Brian (hereinafter "Brian"), CRD No. 2525268, was a representative of BSC, who at all times relevant herein was a registered representative of BSC and registered with the Division.

C. Mr. James H. Simmons, Jr. (hereinafter "Simmons"), CRD No. 2438224, was a representative of BSC, who at all times relevant herein was a registered representative of BSC and registered with the Division.

D. Clifford Popper (hereinafter "Popper"), CRD No. 1189135, was a representative of BSC, who at all times relevant herein was a registered representative of BSC and registered with the Division.

II. FINDINGS OF FACT

The preceding paragraphs are incorporated herein by reference.

A. GEORGE A. BRIAN -

1. Upon discovering Brian had received several customer complaints, the Division wrote BSC, Brian's employer, and requested a report on each complaint and the action taken, if any, by BSC. A copy of the Division's letter dated April 5, 2007, is attached as Exhibit "A". This letter was returned to the Division unclaimed. The Division then faxed a copy of the letter to BSC at (949)-852-6806. A copy of the fax transmittal page is attached as Exhibit "B".
2. To date, BSC has not responded to the Division's request.

B. JAMES H. SIMMONS, JR. –

1. Upon discovering Simmons had received several customer complaints, the Division wrote BSC, Simmons' employer, and requested a report on each complaint and the action taken, if any, by BSC. A copy of the Division's letter dated May 4, 2007, is attached as Exhibit "C".
2. BSC did not respond to this request.
3. On June 4, 2007, the Division again requested BSC provide a report on each complaint. A copy of the Division's letter dated June 4, 2007, is attached as Exhibit "D".
4. To date, BSC has not responded to the Division's requests.

C. CLIFFORD POPPER –

1. Upon discovering Popper had received several customer complaints, the Division wrote BSC, Popper's employer, and requested a report on each complaint and the action taken, if any, by BSC. A copy of the Division's letter dated May 4, 2007, is attached as Exhibit "E".
2. BSC did not respond to this request.
3. On June 4, 2007, the Division again requested BSC provide a report on each complaint. A copy of the Division's letter dated June 4, 2007, is attached as Exhibit "F".
4. To date, BSC has not responded to the Division's requests.

III. APPLICABLE LAW

A. Mississippi Securities Act and Securities Act Rules –

1. Jurisdiction -

a. Mississippi Code Ann. § 75-71-105(b) defines “Broker-dealer” as follows:

(b) “Broker-dealer” means any person engaged in the business of effecting transactions in securities for the account of others or for his own account. “Broker-dealer” does not include (1) an agent, (2) an issuer, (3) a bank, savings institution, or trust company, or (4) a person who has no place of business in this state if (A) he effects transactions in this state exclusively with or through (i) the issuers of the securities involved in the transactions, (ii) other broker-dealers, or (iii) banks, savings institutions, trust companies, insurance companies, investment companies as defined in the Investment Company Act of 1940, pension or profit-sharing trusts, or other financial institutions or institutional buyers, whether acting for themselves or as trustees, or (B) during any period of twelve (12) consecutive months he does not direct more than fifteen (15) offers to sell or buy into the State of Mississippi in any manner to persons other than those specified in clause (A) of this subsection, whether or not the offeror or any of the offerees is then present in this state.

b. Miss. Code Ann. § 75-71-301 provides in pertinent part:

Except as provided for in Section 109(1), it is unlawful for any person to transact business in this state as a broker-dealer or agent unless he is registered under this chapter.

2. Power to Revoke Registration –

Miss. Code Ann. § 75-71-321 provides in pertinent part:

* * *

(b) The Secretary of State may by order deny, suspend or revoke the registration if the Secretary of State finds (1) that the order is in the public interest and (2) that the applicant or registrant:

(A) Has failed reasonably to supervise its agents if he is a broker-dealer or his investment adviser representatives if he is an investment adviser; or

* * *

3. Power to Impose Penalty –

Miss. Code Ann. § 75-71-715 provides in pertinent part as follows:

Whenever it appears to the Secretary of State that any person has engaged or is about to engage in any act or practice constituting a violation of any provision of this chapter or any rule or order hereunder, he may, in his discretion, seek any or all of the following remedies:

* * *

(2) (a) Issue an order in the case of an issuer or registered securities, broker-dealer, investment advisor, agent investment advisor representative, or other person who violated this chapter, imposing an administrative penalty up to a maximum of Twenty-five Thousand Dollars (\$25,000.00) for each offense and each violation shall be considered a separate offense in a single proceeding or a series of related proceedings; to be paid to the Secretary of State and requiring reimbursement to the Secretary of State for all costs and expenses incurred in the investigation of the violations(s) and in the institution of administrative proceedings, if any, as a result thereof;

* * *

4. Power to Inspect –

Miss. Code Ann. § 75-71-333(d) provides as follows:

(d) Inspection Power. All of the records referred to in subsection (a) of this section are subject at any time to such reasonable periodic, special or other examinations by representatives of the Secretary of State, within or without the State of Mississippi, as the Secretary of State deems necessary or appropriate in the public interest or for the protection of investors.

5. Failure to Supervise -

Mississippi Securities Rule 521 provides in pertinent part:

Supervision: All broker/dealers shall establish and keep current a set of written supervisory procedures and a system for implementing such procedures **which may be reasonably expected to prevent and detect any violations of the Act and rules** promulgated thereunder. The procedures shall include the designation by name or title of those persons delegated supervisory responsibility in at least the areas of sales, financial operations, and compliance. A complete set of such procedures and systems shall be kept in all offices located in this state.

6. **Standards of Conduct/Violation of other rules or regulations -**

Mississippi Securities Act Rule 523(A)(23)

Standards of Conduct: Each broker/dealer and agent shall observe high standards of commercial honor and just and equitable principles of trade in the conduct of their business. Acts and practices, including, but not limited to, the following, are considered contrary to such standards and may constitute grounds for denial, suspension, or revocation of registration, imposition of fines, or such other action authorized by statute.

A. Broker/Dealers.

* * *

23. Violating any laws or rules of the SEC or a national securities exchange or national securities association of which it is a member, or violating any federal or state securities law or any rule or regulation promulgated thereunder.

B. NASD RULES –

Supervision –

Rule 3010. Supervision

(a) Supervisory System

Each member shall establish and maintain a system to supervise the activities of each registered representative, registered principal, and other associated person that is reasonably designed to achieve compliance with applicable securities laws and regulations, and with applicable NASD Rules. Final responsibility for proper supervision shall rest with the member. A member's supervisory system shall provide, at a minimum, for the following:

(1) The establishment and maintenance of written procedures as required by paragraphs (b) and (c) of this Rule.

* * *

IV. CONCLUSIONS OF LAW

1. BSC, a broker-dealer as defined by Miss. Code Ann. § 75-71-105(b), is registered with the Division as required by Miss. Code Ann. § 75-71-301 and therefore subject to its jurisdiction.

2. The Division, pursuant to Miss. Code Ann. § 75-71-333(d), has the right to inspect the books and records of BSC.

3. BSC is required to supervise its agents to prevent and detect any violations of the Act and Rules.

4. The Division is exercising its regulatory authority in requesting a report from BSC of its investigation and response to the complaints filed against Brian, Simmons, and Popper.

5. BSC's records should include records of customer accounts including customer complaints and any action taken by BSC. Any complaints made against the agents prior BSC hiring them should have been investigated at the time BSC employed the agents. BSC's failure to provide its records regarding these complaints is a failure to comply with the Division's right to inspect the books and records of registered entities pursuant to Miss. Code Ann. § 75-71-333(d).

6. By failing to provide the requested information, BSC has failed to establish that it adequately supervises its agents.

V. NOTICE OF INTENDED ACTION

Please note that the Secretary of State intends to take the following action:

A. Revoke the registration of Brookstreet Securities Corporation.

B. Impose an administrative penalty on Respondent in the amount of Seventy-five Thousand Dollars (\$75,000.00) pursuant to Miss. Code Ann. § 75-71-715.

C. The imposition of an administrative penalty shall become effective thirty (30) days after receipt of this Notice unless a written request for an administrative hearing is received by the Secretary of State before the expiration of said thirty (30) days.

VI. PUBLIC INTEREST

This Notice of Intent to Revoke Registration and Impose Administrative Penalty is issued in the public interest and for the protection of investors consistent with the purpose of the Act.

VII. RIGHT TO AN ADMINISTRATIVE HEARING

An administrative hearing may be requested in this matter. Any such request shall be made in writing within thirty (30) days from the date of receipt of this Notice. The request must be addressed to Patricia Melvin, Senior Attorney, Business Regulation and Enforcement Division, Post Office Box 136, Jackson, Mississippi 39205-0136.

If an administrative hearing is requested, written notice of the date, time, and place will be given to all parties by certified mail, return receipt requested. Said notice will also designate a Hearing Officer.

In the event such a hearing is requested, the Respondent may appear, with or without the assistance of an attorney, at the date, time and place specified and cross-examine witnesses, present testimony, evidence and argument relating to the matters contained herein. Upon request, subpoenas may be issued for the attendance of witnesses and for the production of books and papers on the Respondent's behalf at the hearing relating to the matters contained herein. In the event such written notice is not received within said thirty (30) day period of time, a FINAL ORDER REVOKING REGISTRATION AND IMPOSING ADMINISTRATIVE PENALTY may be entered in this proceeding with no further notice.

VIII. AMENDMENTS

The Division reserves the right to amend this Notice of Intent to Revoke Registration and Impose Administrative Penalty to allege additional violations.

ISSUED, this, the 4th day of September, 2007.

ERIC CLARK
Secretary of State

BY:


PATRICIA MELVIN

Senior Attorney
Business Regulation and Enforcement


Patricia Melvin, MSB #2865
Mississippi Secretary of State's Office
Post Office Box 136
700 North Street
Jackson, Mississippi 39205-0136
Tel. (601) 359-1650
Fax (601) 359-1499

CERTIFICATE OF SERVICE

I, Patricia Melvin, do here by certify that I have this day mailed via Certified Mail, postage pre-paid, a true and correct copy of the Notice of Intent to Revoke Registration and Notice of Intent to Impose Administrative Penalty to the following:

Mr. Stanley C. Brooks, President
Brookstreet Securities Corporation
2361 Campus Drive, #210
Irvine, CA 92612

This, the 4th day of September, 2007.



PATRICIA MELVIN
Senior Attorney
Business Regulation and Enforcement

Patricia Melvin, MSB # 2865
Mississippi Secretary of State's Office
Post Office Box 136
700 North Street
Jackson, Mississippi 39205-0136
Tel. (601) 359-1650
Fax (601) 359-1499

FORM LA-CERT (Replaces #GCM)

Is it time to reorder?

Please contact your supplier

DIRECTION
OF FEED

7115 4411 7551 4000 0624

RETURN RECEIPT SERVICE	POSTAGE	\$0.58	POSTMARK OR DATE
	RESTRICTED DELIVERY FEE	\$0.00	
	CERTIFIED FEE	\$2.65	
	RETURN RECEIPT FEE	\$2.15	
	TOTAL POSTAGE AND FEE'S	\$5.38	
SENT TO:			
Mr. Stanley C. Brooks President Brookstreet Securities Corporation 2361 Campus Drive, #210 Irvine, CA 92612 9/4/2007 8:57 AM			

PS FORM 3800

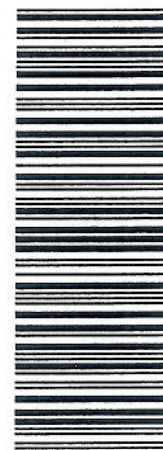


UNITED STATES
POSTAL SERVICE™

RECEIPT FOR CERTIFIED MAIL

NO INSURANCE COVERAGE PROVIDED
NOT FOR INTERNATIONAL MAIL
(SEE OTHER SIDE)

Fold at line over top of envelope to the
right of the return address



7115 4411 7551 4000 0624

FORM LA-CERT (Replaces #GCM 11B)

Is it time to reorder?
Please contact your supplier

2. Article Number		COMPLETE THIS SECTION ON DELIVERY	
<p>7115 4411 7551 4000 0624</p>		A. Signature <input checked="" type="checkbox"/> Agent <input checked="" type="checkbox"/> Addressee	
1. Article Addressed to:		B. Received by (Printed Name)	C. Date of Delivery
Mr. Stanley C. Brooks President Brookstreet Securities Corporation 2361 Campus Drive, #210 Irvine, CA 92612 9/4/2007 8:57 AM		D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES enter delivery address below: <input type="checkbox"/> No	
		3. Service Type	<input checked="" type="checkbox"/> Certified
		4. Restricted Delivery? (Extra Fee)	<input type="checkbox"/> Yes

ADDRESSEE LABEL

Mr. Stanley C. Brooks
President
Brookstreet Securities Corporation
2361 Campus Drive, #210
Irvine, CA 92612

PS Form 3811

Domestic Return Receipt

UNITED STATES POSTAL SERVICE



First-Class Mail
Postage & Fees Paid
USPS
Permit No. G-10

PEEL OFF THIS STRIP TO EXPOSE
ADHESIVE AFFIX TO ENVELOPE OR
PACKAGE WITH THIS SIDE DOWN.

Misti Thornhill - *TRicia*
MS Secretary of State
700 North Street
P O Box 136
Jackson, MS 39205

PEEL OFF THIS STRIP TO EXPOSE
ADHESIVE AFFIX TO ENVELOPE OR
PACKAGE WITH THIS SIDE DOWN.

OPTIONAL LABEL

Batch #: 90
Article #: 71154411755140000624
Date/Time: 9/4/2007 8:57:48 AM
Code:
Code2:
File #:
Internal File #:
Internal Code #:

CERTIFICATE OF SERVICE

I, Patricia Melvin, do here by certify that I have this day mailed via Certified Mail, postage pre-paid, a true and correct copy of the Notice of Intent to Revoke Registration and Notice of Intent to Impose Administrative Penalty to Corporation Service Company as registered agent for Brookstreet Securities Corporation at the following address:

Corporation Service Company
506 S. President Street
Jackson, Mississippi 39201

This, the 13th day of December, 2007.



PATRICIA MELVIN
Senior Attorney
Business Regulation and Enforcement

Patricia Melvin, MSB # 2865
Mississippi Secretary of State's Office
Post Office Box 136
700 North Street
Jackson, Mississippi 39205-0136
Tel. (601) 359-1650
Fax (601) 359-1499



FORM LA-CERT (Replaces #GCM 1)

Is it time to reorder?

Please contact your supplier



7115 4411 7551 4000 0754

RETURN RECEIPT SERVICE	POSTAGE	\$0.58	POSTMARK OR DATE
	RESTRICTED DELIVERY FEE	\$0.00	
	CERTIFIED FEE	\$2.65	
	RETURN RECEIPT FEE	\$2.15	
	TOTAL POSTAGE AND FEE'S	\$5.38	
SENT TO: Corporation Services Company 506 S. President Street Jackson, Mississippi 39201 12/13/2007 10:57 AM			

PS FORM 3800



RECEIPT FOR CERTIFIED MAIL

NO INSURANCE COVERAGE PROVIDED
NOT FOR INTERNATIONAL MAIL
(SEE OTHER SIDE)

Fold at line over top of envelope to the right of the return address



7115 4411 7551 4000 0754

FORM LA-CERT (Replaces #GCM 11B)

Is it time to reorder?
Please contact your supplier

2. Article Number 7115 4411 7551 4000 0754	COMPLETE THIS SECTION ON DELIVERY A. Signature X <input type="checkbox"/> Agent <input type="checkbox"/> Addressee B. Received by (Printed Name) C. Date of Delivery D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES enter delivery address below: <input type="checkbox"/> No 3. Service Type <input checked="" type="checkbox"/> Certified 4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes
1. Article Addressed to: Corporation Services Company 506 S. President Street Jackson, Mississippi 39201 12/13/2007 10:57 AM	

ADDRESSEE LABEL

Corporation Services Company
506 S. President Street

Jackson, Mississippi 39201

2. Article Number 7115 4411 7551 4000 0754	COMPLETE THIS SECTION ON DELIVERY A. Signature X DANNY L. PERRY <input type="checkbox"/> Agent <input type="checkbox"/> Addressee B. Received by (Printed Name) C. Date of Delivery 12/14 D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES enter delivery address below: <input type="checkbox"/> No 3. Service Type <input checked="" type="checkbox"/> Certified 4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes
1. Article Addressed to: Corporation Services Company 506 S. President Street Jackson, Mississippi 39201 12/13/2007 10:57 AM	

PEEL OFF THIS STRIP TO EXPOSE
ADHESIVE AFFIX TO ENVELOPE OR
PACKAGE WITH THIS SIDE DOWN.

OPTIONAL LABEL

Batch #: 107
Article #: 71154411755140000754
Date/Time: 12/13/2007 10:57:08 AM
Code:
Code2:
File #:
Internal File #:
Internal Code #: